United States Institute of Peace

PERFORMANCE REPORT REQUIREMENTS
Enclosures 1 and 2

Those who direct USIP funded projects are required to submit narrative and financial performance reports outlining project accomplishments. As outlined on page two of the Statement of Grant Conditions and Special Provisions document, these reports are due at specified regular intervals during the grant period and at the conclusion of the grant. Unless other arrangements are made with the Grant Program, signers of the grant award Acceptance Form are responsible for the content and timely submission of these reports.

Purpose of Performance Reports

Interim and final performance reports serve to measure progress achieved on a project; determine if a project is meeting its goals; identify programmatic and administrative problems that may need to be resolved; and serve as a permanent record of the project's accomplishments. These reports help USIP administer its grant projects effectively and handle its funds properly. The reports also help USIP to determine how well its grants address the goals of the Institute.

PERFORMANCE REPORTS-—narrative and financial—should be submitted according to the schedule set forth in the Statement of Grant Conditions and Special Provisions.

o **Interim Performance Reports:** Interim reports are scheduled periodically throughout the grant period. Grant payments are usually tied to the review and clearance of performance reports.

o **Final Performance Reports**: Final reports are due 90 days after the end of the grant period.

USIP provides two report forms (in hard copy and electronic format) for submission of grantee reports. Please use Award Enclosure 1 for narrative reports and Award Enclosure 2 for financial reports. Please remember that an authorizing official at the grantee institution must sign all financial reports before they are submitted to USIP.

Failure to submit reports as scheduled will result in delayed payments or the interruption or termination of the grant at the discretion of USIP.

SUBMISSION: **An electronic version** (CD or via e-mail) of the narrative performance report should be submitted to the individual identified as the USIP Responsible Program Officer (RPO) for the project. Financial reports require a signature of an authorized institutional representative. A pdf of the signed report may be sent electronically to the RPO. That individual's name and contact information was provided in the final award package documentation.

> The Grant Program
> United States Institute of Peace
> 1200 17th Street, NW • Suite 200
> Washington, DC 20036-3011

Award Enclosure 1
NARRATIVE REPORT FORM

USIP Reference Number: SG-256-11   **Reporting Period**: September 2011-January 2012

(From-To)

Project Director(s):   **Date:** 1st February, 2012

Mr. Daniyal Aziz, Advisor, GINI

Type of Report: Check below and complete section(s) identified.

■ Interim Report: ■ 1st, ☐ 2nd, ☐ 3rd, _____ Other (please specify)

☐ Final Report

A. FINANCIAL SUPPORT FROM OTHER DONORS

Please identify any other sources of funding for the project received <u>after</u> USIP support. (Attach separate page as necessary.)

Donor	Amount	Date Received
None	None	Not Applicable

B. TRAINING AND EDUCATION PROJECTS

For projects with an education and/or training focus, indicate the total number of individuals who participated in the training/workshop/educational activity during the reporting period. Provide additional information in Section C, no. 1.

Type of Activity	Length of Activity	Total No. of Participants
Not Applicable.		

SECTION C
Please complete the information below.
Boxes **expand** as you type. Please **do not** feel confined by space.

1. Describe the major activities that occurred during the reporting period.

Literature Review: GINI undertook a thorough review of existing literature on radicalization and the link between radicalization and mis-governance. Taken holistically, the body of literature is multidisciplinary, including work contributed by historians, political scientists, social scientists, psychologists, criminologists, as well as policy makers involved in law enforcement, security and intelligence. The review entailed a desk-study focusing on primarily on secondary resources available online. Reviewed literature included both qualitative/descriptive research as well as quantitative/empirical analyses at the international and national levels. Given this diversity, the only viable organizing principle for the review was the key purpose that a particular group of studies was attempting to serve. In this regard, the following typology has emerged:

- Studies attempting to unpack and compare the beliefs, narratives, and/or ideologies of specific terrorist organizations through methods that could be described as case studies (e.g. Change Institute, 2008)
- Phase models attempting to capture and explain the process of radicalization at the individual and group level (e.g. the Silber & Bhatt, New York Police Department, 2007)
- Empirical analyses attempting to test the strength of causal relationships between radicalization and different independent variables

The last type of research is most closely linked to the project being implemented by GINI and has therefore been most thoroughly reviewed. However, causative empirical studies are few (in comparison to other research types), even fewer focus on Pakistan, and fewer still focus on mis-governance (from a service delivery perspective). Overall, the situation has not changed much since 1988 when Alex Schmid and Albert Jongman remarked: "There are probably few areas in the social science literature in which so much is written based on so little research," in light of their own review of studies in the field.

Development of Survey Instrument: Based on the literature review, GINI developed a questionnaire designed to elicit responses corresponding to the following key variables:

- Religiosity
- Political affiliations and attitudes
- Influence of religious leaders
- Influence of tribal leaders
- Personal affiliations and values
- Perceptions regarding varying systems of government
- Perceptions regarding prevailing government policies
- Perceptions regarding political freedoms
- Perceptions and experience of dealings with key public service offices and institutions (corruption, competence, access, citizen friendliness, and performance)
- Perceptions regarding the delivery of basic human rights and needs
- Perceptions regarding personal and general economic conditions
- Perceptions regarding delivery of local public services (especially land rights administration)

- Attitudes regarding terrorism and militancy

- Personal demographic information (including literacy, education, income, etc.)

Sampling: In accordance with the research design presented in the Full Application document, a sample of 80 villages (rural areas) and circle numbers (urban areas) was drawn in KPK, 60 from Malakand Division and 60 from FATA, through the Probability Proportionate to Size (PPS) technique, using the last available census data of 1998 as the sampling frame.

Refinement of Questionnaire: The questionnaire was translated into Urdu and transliterated into Pashto (Urdu script). The Pashto questionnaire was translated back into English by an independent translator to assess quality. After thorough revisions, both Urdu and Pashto sets (Pashto to be used where respondents did not speak Urdu) were pre-tested among a sample of 30 respondents, 10 each from the 3 target areas of FATA, KPK and Malakand. Test-retest method was adopted where the same 30 respondents were interviewed twice, within 48 hours by different interviewers for the first and second round of interviews. This assessed reliability of both the instrument and the interview technique. The results of the first and second round were then correlated in analysis, and items whose responses did not correlate to 70% and above were deleted or reworded in the questionnaire in light of the observations of the survey teams. The finalized questionnaire was then again translated into Urdu and transliterated into Pashto for the survey.

Training of Survey Teams: A Training Workshop was held in Shelten Greens Hotel, Peshawar, KPK on the 1st of November, 2011 where the Research Analyst and the Statistical Expert provided training on the survey design and data collection protocols to the survey team. The program included:

- Background and purpose of study
- Research design
- Data collection protocols
- Importance of adhering to design and protocols
- Mock 'interviews'

Photographs of the Training Workshop are attached separately.

Conduct of Survey: The survey was begun on January 6, 2012, according to a detailed Research Action Plan specifying the schedule of survey teams including the dates when each PSU would be surveyed. Thus far 1350 respondents have been interviewed, (770 from KPK, 450 from FATA, and 130 from Malakand), out of the total planned sample of 2,000. Delays were (and are) being experienced intermittently in Malakand, owing to exceptionally difficult weather conditions which have made roads impassable (especially in Chitral and Upper Dir) and in FATA, due to heightened security risks and militant activity (especially in Bajaur Agency). However, these difficulties are expected to abate shortly, and the survey is set for completion by the end of February, 2012. Photographs of survey teams have been attached separately.

Monitoring & Evaluation of Survey: The survey was closely monitored by GINI personnel. In all, approximately 20% of total sampled PSUs have been monitored during survey through unannounced visits. In addition, validation visits have also been conducted randomly for surveyed households to confirm participation. The data collection protocols explained during trainings are being followed to the letter.

2. Briefly discuss the project's impact, including any findings or results. What influence has the project had on its intended (or unintended) audience and on the field?

As the survey has not yet been completed, GINI has no findings or results to report. Impact and influence on audience will derive from activities conducted in later reporting periods.

3. Describe any materials that have been produced by the project. Indicate publication plans for any materials resulting from grant activities, and when the publications are likely to be available. Please submit two copies of a draft or final copy of the material. For products already in electronic format, except published books, please submit one electronic version and one hard copy. Please mail two copies of any published books.

As committed in the Full Application document, GINI will prepare a formal report of the study which will become available for publication and dissemination in later reporting periods. A preliminary draft will be shared with USIP in the next Interim Report.

4. Compare the work performed on the project with the objectives proposed in your grant application. Please specify changes, if any, in the project's scope, objectives, products and other outcomes, and discuss why the changes are necessary. Please remember that significant changes in the project require prior USIP approval. (Discuss needed budget and grant period changes in box no. 5, below.)

Thus far, GINI's work is in complete consonance with progress toward the achievement of goals and objectives proposed in the Full Application document. The findings of the survey will shed light on the relationship between misgovernance and radicalization, and help articulate citizen grievances with local service providers to evolve policy solutions for the improvement of governance. There are no changes in the project's scope, objectives, products and other outcomes.

5. Changes in the budget/grant period: Discuss any revisions and/or no-cost extensions needed in the budget or the grant period of the project. Please provide justification for why the changes are necessary. For budget revisions and extension requests, please note that we require up-to-date narrative and financial reports before USIP will approve the requested change(s). In addition, for budget revisions, please complete a revised budget form (available upon request). An official of the administering institution must sign the revised budget form.

No revisions or extensions are needed in the budget or grant period of the project.

6. If this is an interim report, briefly describe activities that you plan to undertake during the next reporting period.

In the next reporting period, GINI plans to:

1. Complete field survey.
2. Enter, validate and analyze captured data and produce preliminary results.
3. Share preliminary results with local public service providers in the 3 regions to identify supply-side factors causing misgovernance problems through Focus Group Discussions. Preparations for these FGDs are already underway.
4. Present Preliminary Report to USIP in Washington DC, including findings and policy implications emerging from survey and FGDs.

SECTION D: OVERALL ASSESSMENT

USIP is eager to learn of success stories or other achievements of the project as well as your views on the grant-making process and USIP's performance. Please use the area below to provide additional information that you would like to share with us including personal anecdotes or quotes from project participants about the impact of your grant project and/or your experience with USIP. *If this is a final report, also complete section E.*

The experience of all project participants has been challenging in terms of the thematic and geographic focus of the project, which has broadened horizons and provided growth and learning opportunities at the individual, team and organizational levels. It is also highly rewarding, given that the knowledge produced by the project will contribute toward understanding on perhaps the greatest challenge faced by Pakistan today. Project participants are also aware that this study is the first of its kind, in terms of methodological rigor, policy relevance, and thematic/geographic focus, which is a source of pride for all project participants. Our experience with USIP has been brief but highly positive, and we look forward to our continuing partnership.

SECTION E: FINAL REPORT
In addition to the above information, the following items should be covered in your final narrative report:

1. Describe plans, if any, to continue or follow up on the project after the grant period ends because of the success of the project and/or interest it has generated.

2. If an evaluation of the project was performed, briefly describe how it was undertaken and by whom. Describe the results of the evaluation as well as your overall assessment of the project. **Attach or mail** summaries of all written evaluations of the project.

3. In the event that the project goals were not achieved, indicate what plans there are to continue the project after the grant period, how project activities will be funded and the likely conclusion date.

4. Describe efforts to disseminate the product(s) and/or findings of the project. Also indicate what grant products were made available to scholars, students, or the general public and what demand there was for the use or reproduction of this material.